

**FORM – I**  
[See rule 4(iii)]

**FORM FOR FILING RETURNS  
OF SALE OF NEW BATTERIES AND COLLECTION OF USED BATTERIES**

[To be submitted by manufacturer/assembler /reconditioner  
by 30th June (for the period October-March) and  
31st December (for the period April-September) every year]

1.	Name and address of the manufacturer / assembler / reconditioner		
2.	Name of the authorised person and complete address with telephone and fax numbers		
3.	<p>Total number of new batteries sold during the period October-March/ April-September in respect of the following categories</p> <p>Category</p> <p>(i) Automotive     (a) Four Wheeler     (b) Two Wheeler</p> <p>(ii) Industrial     (a)UPS     (b)Motive power     (c)Stand-by</p> <p>(iii) others(inverters, etc.)</p> <p>Number of batteries sold to</p> <p>i. dealers ii. bulk consumers iii. OEM iv. Any other party for replacement should be indicated separately</p>	<p>(i) No. of Batteries</p> <p>-----</p>	<p>(ii) Approximate weight in Tones</p> <p>-----</p>
4.	Name and full address of the designated collection centres		
5.	Total number of used batteries of different categories as at Sl. No. 3 collected and sent to the registered recyclers*		

\* Enclose the list of recyclers to who batteries have been sent for recycling.

Place\_  
Date\_

Signature of the authorised person

**FORM II**  
[See rule 5 & 6(ii)]

**FORM FOR REGISTRATION  
OF IMPORTER OF NEW LEAD ACID BATTERIES / PRIMARY LEAD**

{ To be submitted in triplicate to the Ministry of Environment and Forests }

1.	Name and Address of the importer	
2.	Import / Export Licence No.	
3.	Name of person / owner / occupier as the case may be	

Date  
Place

Signature of the Importer

**FORM III**  
[see rule 6(iii)]

**UNDERTAKING**

(to be submitted by importer of new lead acid batteries)

To  
The Member Secretary  
State Pollution Control Board

1. I \_\_\_\_\_ of M/s \_\_\_\_\_ hereby submit that I am in the process of importing \_\_\_\_\_ (MT) of new lead acid batteries.

2. I undertake that I shall collect back the used batteries as per the schedule prescribed by the Government from time to time in lieu of the new batteries imported and sold, and shall send these only to the registered recyclers. I further undertake that I shall submit half-yearly returns as per item (iii) of rule 6 to the State Board and abide by their directions, if any.

Date :  
Place :

Signature of the Importer

Copy to : The concerned Customs Authority

**FORM – IV**

[See rule 6(iv)]

**FORM FOR FILING RETURNS  
OF SALE OF NEW BATTERIES AND COLLECTION OF LEAD ACID BATTERIES**

[To be submitted by the Importer of new lead acid batteries  
by 30th June (for the period October-March and  
31st December (for the period April-September) every year]

1.	Name and address of the importer		
2.	Name and address of the authorised person and telephone and fax numbers		
3.	Total number of new batteries sold during the period October-March/ April-September in respect of the following categories  Category (i) Automotive (a) Four Wheeler (b) Two Wheeler (ii) Industrial (a) UPS (b) Motive power (c) Stand-by (iii) others (inverters, etc.)  Number of batteries sold to i. dealers ii. bulk consumers iii. OEM iv. Any other party for replacement should be indicated separately	(i) No. of Batteries  -----	(ii) Approximate weight in Tones  -----
4.	Name and full address of the designated collection centre		
5.	Total number of used batteries of different categories as at Sl. No. 4 collected and sent to the registered recyclers*		

\* enclose a complete list

Place\_

Date\_

Signature of the authorised person

**FORM – V**  
[See rule 7(iv)]

**FORM FOR FILING RETURNS OF  
SALE OF NEW BATTERIES AND COLLECTION OF OLD BATTERIES**

[To be submitted by dealers to the manufacturers  
by 31st May (for sale during October-March) and  
30th November (for sale during April-September) every year]

1.	Name and address of the dealer		
2.	Name of the authorised person and complete address with telephone and fax numbers		
3.	<p>Total number of new batteries sold during the period October-March/ April-September in respect of the following categories</p> <p>Category</p> <p>(i) Automotive     (a) Four Wheeler     (b) Two Wheeler</p> <p>(ii) Industrial     (a) UPS     (b) Motive power     (c) Stand-by</p> <p>(iii) others (inverters, etc.)</p> <p>Number of batteries sold to</p> <p>i. dealers ii. bulk consumers iii. OEM iv. Any other party for replacement should be indicated separately</p>	(i) No. of Batteries  -----	(ii) Approximate weight in Tones  -----
4.	Total number of used batteries of different categories as at Sl. No. 3 collected and sent to registered recyclers * / designated collection centres / manufacturers		

\* enclose the list of recyclers to who batteries have been sent for recycling.

Place\_  
Date\_

Signature of the authorised person

**FORM – VI**  
(see rule 8 (I), 9(1) & 9(5))

**FORM FOR APPLICATION FOR REGISTRATION OF FACILITIES POSSESSING  
ENVIRONMENTALLY SOUND MANAGEMENT PRACTICE FOR  
RECYCLING OF USED LEAD ACID BATTERIES**

(To be submitted in triplicate)

1.	Name and Address of the unit			
2.	Contact person with designation, Tel./Fax			
3.	Date of Commissioning			
4.	No. of Workers (including contract labourers)			
5.	Consent Validity	a. Under Air Act, 1981; Valid up to – b. Under Water Act, 1974; Valid up to -		
6.	Validity of Authorisation under rule 5 of the Hazardous Wastes (Management and Handling) Rules, 1989	Valid up to -		
7.	Installed capacity of production in (MTA)			
8.	Products manufactured Name : (a) (b) (c)	Year –1	Year-2	Year-3
9.	Raw material consumed (Tones / year) Name : (a) (b) (c)	Year –1	Year-2	Year-3
10.	Manufacturing Process	Please attach manufacturing process flow diagram for each product(s)		
11.	Water consumption	Industrial – m3/day Domestic_ m3 / day		
12.	Water Cess paid up to			
13.	Waste water generation a. as per consent m3/day b. actual m3 / day (average of last three months)	Industrial Domestic		
14.	Waste water treatment (please provide flow diagram of the treatment scheme)	Industrial Domestic		

15.	Waste water discharge	Quantity m3/day Location- Analysis of treated waste water – PH, BOD,COD, SS, O&G, Any other (indicate the corresponding standards applicable)
16.	Air Pollution Control a. Please provide flow diagram for emission control system(s) installed for each process unit, utilities etc. b. Details for facilities provided for control of fugitive emission due to material handling, process, utilities etc. c. Fuel consumption d. Stack emission monitoring results vis-à-vis the standards applicable e. Ambient air quality	S.No. Name of Fuel Quantity / day S.No Stack attached to Emission g/Nm3 S.No Location Results ug/m3
17.	Hazardous Waste Management a. Waste generation b. Details of collection, treatment c. Disposal (including point of final discharge) i. Please provide details of the disposal facility ii. Whether facilities provided are in compliance of the conditions issued by the SPCB in Authorisation iii. Please attach analysis report of characterisation of hazardous waste generated (including leachate test if applicable)	S.No Name of Process Quantity / y Waste category
18.	Details of waste proposed to be taken in auction or import, as the case may be, for use as raw material	1. Name 2. Quantity required /- 3. Position in List A/List B as per Basel Convention (BC) – 4. Nature as per Annexure III of BC-
19.	Occupational safety and health aspects	Please provide details of facilities provided.
20.	Remarks  iv. Whether conditions exist or likely to exists of the material being handled / processed of posing immediate or delayed adverse impacts on the environment.	Yes / No Yes / No Yes / No

	i. Whether industry has provided adequate pollution control system / equipment to meet the standards of emission / effluent.	Yes/No; If Yes, furnish details
	ii. Whether industry is in compliance with conditions laid down in the Hazardous Waste Authorisation	Yes/No
	iii. Whether Hazardous Waste collection and Treatment, Storage and Disposal Facility (TSDF) are operating satisfactorily.	Yes/No
	v. Whether conditions exist or is likely to exist of the material being handled / processed by any means capable of yielding another material e.g., leachate which may possess ecotoxicity.	Yes/No
21.	i. Cost of the unit ii. Cost of pollution control equipment including environmental safeguard measures a. Capital : b. Recurring :	
22	Any Other Information : i) ii) iii)	

I hereby declare that the above statements / information are true and correct to the best of my knowledge and belief.

Date ;  
Place :

Signature:  
Name:  
Designation:

**FORM – VII**

[see rule 8(iii)]

**FORM FOR FILING RETURNS BY RECYCLERS OF USED BATTERIES**

[To be submitted by recyclers by 30th June and 31st December of every year]

1.	Name and address of the recycler	
2.	Name of the Authorised person and full address with telephone and fax number	
3.	Installed annual capacity to recycle used battery scrap (in MTA)	
4.	Total quantity of used battery scrap purchased from / sent for processing during the period from October – March / April-September	i. Quantity of used batteries sent by / purchased from the manufacturers ii. Quantity of used batteries purchased from the dealers iii. Quantity of used batteries purchased from auctioneers iv. Quantity of used batteries obtained from any other source -
5.	Quantity of lead recovered from the used battery scrap (in MTA)	
6.	Quantity of recycled lead sent back to	i. the manufacturer of batteries ii. other agencies * -

\* enclose list of other agencies.

Place \_\_\_\_\_

Date \_\_\_\_\_

Signature of the authorised person

**FORM – VIII**

[see rule 10 (2)(ii)]

**FORM FOR FILING RETURNS BY RECYCLERS OF USED BATTERIES**

[To be submitted by the bulk consumer to the State Board by  
30th June (for the period October-March) and  
31st December (for the period April-September) every year]

1.	Name and address of the bulk consumer		
2.	Name of the Authorised person and full address with telephone and fax number		
3.	Number of new batteries of different categories purchased from the manufacturer / importer / dealer or any other agency during October-March and April-September Category (i)Automotive (a)Four Wheeler (b)Two Wheeler (ii)Industrial (a)UPS (b)Motive power (c)Stand-by (iii)others(inverters, etc.)	(i) No. of batteries	(ii)Approximate weight (tonnes)
4.	Number or used batteries of categories mentioned in Sl. No. 3 and Tonnage of scrap sent to manufacturer / dealer / importer / registered recycler / or any other agency to whom the used batteries scrap was sent*		

\* Enclose list of manufacture / dealer / importer / registered recyclers / or any other agency to whom the used batteries scrap was sent.

Place \_\_\_\_\_  
Date \_\_\_\_\_

Signature of the authorised person

**FORM – IX**  
[see rule 11 (ii)]

**FORM FOR FILING RETURNS BY AUCTIONEER OF USED BATTERIES**

[To be submitted by the auctioneer to  
State Board by 30th June and 31st December of every year]

1.	Name and address of the auctioneer	
2.	Name of the Authorised person and full address with telephone and fax number	
3.	Number of used batteries and total Tonnage (of MT) available during the period from October-March and April-September	
4.	Sources of the used battery scrap	
5.	Number of used batteries and total Tonnage (of MT) auctioned during the period from October-March and April-September	
6.	Number of used batteries and total Tonnage of (MT) sent to the registered recyclers *	

\* Enclose a list

Place \_\_\_\_\_  
Date \_\_\_\_\_

Signature of the authorised person